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EXAMINER

HENNING, MATTHEW T

ART UNIT	PAPER NUMBER
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2131

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	03/06/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Office Action Summary	Application No. 09/913,686	Applicant(s) RUMP ET AL.	
	Examiner Matthew T. Henning	Art Unit 2131	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 14 December 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-30 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-30 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 24 January 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

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1 This action is in response to the communication filed on 12/14/2006.

2 **DETAILED ACTION**

3 ***Response to Arguments***

4 Applicant's arguments filed 12/14/2006 have been fully considered but are not found
5 persuasive.

6 Applicants appear to argue primarily that in the combination of Van Oorschot and
7 Nardone, **the entirety of** the unencrypted second section of the payload data is not processed to
8 deduce information characterizing the unencrypted second section. These arguments are not
9 found persuasive. In response to applicant's argument that the references fail to show certain
10 features of applicant's invention, it is noted that the features upon which applicant relies (i.e.,
11 processing the entirety of the unencrypted second section of the payload data...) are not recited
12 in the rejected claim(s). Although the claims are interpreted in light of the specification,
13 limitations from the specification are not read into the claims. See *In re Van Geuns*, 988
14 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). In the combination, X, which is part of the
15 unencrypted portion of payload data, is hashed in order to produce said information. As such,
16 the unencrypted section of the payload is processed and therefore meets the limitation of the
17 claim language. As such, the examiner does not find the argument persuasive.

18 Regarding applicants' argument that Nardone teaches completely away from the
19 suggested combination, the examiner does not find the argument persuasive. This is due to the
20 fact that the teachings of Nardone (i.e. that by only encrypting portions of data, the amount of
21 processing can be reduced) are being applied to the system of Van Oorschot. In this
22 combination, it would have been obvious to the ordinary person skilled in the art to have

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1 modified the encryption system of Van Oorschot to encrypt only portions of the data in order to
2 increase processing speed. There is no teaching in Nardone that teaches that the extra processing
3 steps of Van Oorschot are unnecessary. Further, in the combination, the encryption system
4 would be "faster" than the system of Van Oorschot alone. As such, the examiner does not find
5 the argument persuasive.

6 Regarding applicants' that those skilled in the art would not modify the system of Van
7 Oorschot in the manner suggested by Nardone because they would want the full message to be
8 encrypted, the examiner does not find the argument persuasive. Nardone teaches that a message
9 can remain unintelligible without the need of encrypting all of the data, and further teaches that
10 this will cut the amount of processing required by both the sender and receiver. As such, the
11 ordinary person skilled in the art would have been motivated to cut the required amount of
12 processing, and as such would have found it obvious to have combined the teachings of Nardone
13 in the system of Van Oorschot. As such, the examiner does not find the argument persuasive.

14 Regarding applicants' argument that X-fields of Van Oorschot must be an encrypted
15 symmetric key, and introducing data from the unencrypted part of the message would ruin the
16 system of Van Oorschot, the examiner does not find the argument persuasive. First, X-fields
17 already includes unencrypted data from the second section of the "payload" data. This is the
18 public key of entity A itself, as is seen in col. 6 Paragraph 5. Furthermore, as discussed above,
19 the claim language does not require all unencrypted message data to be processed. Further still,
20 the examiner has not suggested that the unencrypted message data would have been included in
21 the X-fields. Therefore, the examiner does not find the argument persuasive.

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1 Regarding applicants' argument that the X-fields of Van Oorschot is not "payload data"
2 because it is not any message component, the examiner does not find the argument persuasive.
3 In response to applicant's argument that the references fail to show certain features of applicant's
4 invention, it is noted that the features upon which applicant relies (i.e., that payload data is
5 message data) are not recited in the rejected claim(s). Although the claims are interpreted in
6 light of the specification, limitations from the specification are not read into the claims. See *In*
7 *re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). Therefore, the examiner does
8 not find the argument persuasive.

9 Regarding applicants' argument that the "key leveling" of Van Oorschot and Nardone is
10 not calculated from the unencrypted portion of the message, the examiner does not find the
11 argument persuasive. In response to applicant's argument that the references fail to show certain
12 features of applicant's invention, it is noted that the features upon which applicant relies (i.e.,
13 calculating from the unencrypted portion of the message) are not recited in the rejected claim(s).
14 Although the claims are interpreted in light of the specification, limitations from the specification
15 are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir.
16 1993). Rather, the claims recite processing the unencrypted second section, which as discussed
17 above includes A's low trust public key, which is hashed, and as such meets the claim language.
18 Therefore, the examiner does not find the argument persuasive.

19 Regarding applicants' argument that the "key leveling" of Van Oorschot and Nardone is
20 not calculated from the unencrypted portion of the message, the examiner does not find the
21 argument persuasive. In response to applicant's argument that the references fail to show certain
22 features of applicant's invention, it is noted that the features upon which applicant relies (i.e.,

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1 protecting the authenticity of the unencrypted second part) are not recited in the rejected
2 claim(s). Although the claims are interpreted in light of the specification, limitations from the
3 specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26
4 USPQ2d 1057 (Fed. Cir. 1993). As such the examiner does not find the argument persuasive.

5 Because the examiner does not find the applicants' arguments persuasive, the examiner
6 has maintained the previous prior art rejections.

7 Claims 1-30 have been examined and claim 31 has been cancelled.

8 All objections and rejections not set forth below have been withdrawn.

9 ***Claim Rejections - 35 USC § 103***

10 The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all
11 obviousness rejections set forth in this Office action:

12 (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in
13 section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are
14 such that the subject matter as a whole would have been obvious at the time the invention was made to a person
15 having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the
16 manner in which the invention was made.

17
18 Claims 1-7, 14, 16-17, 19, 23, 25-29 are rejected under 35 U.S.C. 103(a) as being
19 unpatentable over Van Oorschot et al. (US Patent Number 5,850,443) hereinafter referred to as
20 Van Oorschot, and further in view of Nardone et al. (US Patent Number 5,805,700) hereinafter
21 referred to as Nardone.

22 Regarding claim 1, Van Oorschot disclosed a method for producing a payload data
23 stream comprising a header and a payload data block containing encrypted payload data (See
24 Van Oorschot Fig. 3 X-fields, header fields, and encrypted message field), comprising the
25 following steps: generating a payload data key for a payload data encryption algorithm for
26 encrypting payload data (See Van Oorschot Col. 6 Lines 41-43 and Fig. 3 "Create low trust

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1 symmetric key" K'); encrypting a first section of the payload data using said payload data key
2 and said payload data encryption algorithm to obtain an encrypted section of said payload data
3 block of said payload data stream (See Van Oorschot Col. 6 Lines 42-43 and Fig. 3 "Symmetric
4 encryption" and "encrypted message"), said first section including audio data, video data, a
5 combination of audio data and video data, text data, or binary data forming an executable
6 program (See Van Oorschot Abstract ciphertext), wherein a second section of the payload data
7 remains unencrypted (See Van Oorschot Col. 6 Lines 45-47 "public key of entity A");
8 processing the unencrypted section of said payload data (See Van Oorschot Col. 6 Lines 45-50
9 "hash of X" which contains the public key of A) to deduce information characterizing the
10 unencrypted second section of said payload data (See Van Oorschot Col. 6 Lines 49-60 $h40(X)$);
11 linking said information and said payload data key by means of an invertible logic linkage to
12 obtain a basic value (See Van Oorschot Col. 6 Lines 56-60 " $K' \text{ XOR } h40(X)$ "); encrypting said
13 basic value using a key of two keys being different from each other by an asymmetrical
14 encryption method, said two different keys being the public and the private keys respectively for
15 said asymmetrical encryption method, to obtain an output value being an encrypted version of
16 said payload data key (See Van Oorschot Col. 6 Line 60 – Col. 7 Line 7); and entering said
17 output value into said header of said payload data stream (See Van Oorschot Col. 6 Line 65 –
18 Col. 7 Line 7 and Fig. 3 "A's header field" and "B's header field"), but Van Oorschot failed to
19 disclose that the second section included audio data, video data, a combination of audio data and
20 video data, text data, or binary data forming an executable program.

21 Nardone teaches that movie data needs to be protected from being copied and that this is
22 generally done through encrypting the movie data (See Nardone Col. 1 Lines 22-37), and further

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1 that in order to save on processing cost, only portions of the movie data should be encrypted (See
2 Nardone Col. 1 Summary of the Invention).

3 It would have been obvious to the ordinary person skilled in the art at the time of
4 invention to employ the teachings of Nardone in the encryption system of Van Oorschot by
5 encrypting video data, and further by only encrypting portions of the data. This would have been
6 obvious because the ordinary person skilled in the art would have been motivated to protect
7 movie data and to save on processing cost.

8 Regarding claim 17, Van Oorschot disclosed a method for decrypting an encrypted
9 payload data stream comprising a header and a payload data block containing a first section
10 having encrypted payload data (encrypted message), said first section including audio data, video
11 data, a combination of audio data and video data, text data, or binary data forming an executable
12 program (See Van Oorschot Abstract ciphertext), and a second section having unencrypted
13 payload data (public key of A), said header comprising an output value having been generated by
14 an encryption of a basic value by an asymmetrical encryption method using a key of two
15 different keys including a private and a public key, said basic value representing a linkage of a
16 payload data key, with which said first section having encrypted payload data is encrypted using
17 a payload data encryption algorithm, and information deduced by a certain processing of the
18 unencrypted second section of the payload data, said information characterizing a certain part of
19 said payload data stream unambiguously (See rejection of claim 1 above), said method
20 comprising the following steps: obtaining said output value from said header (See Van Oorschot
21 Fig. 4 "B's Header Field" and Col. 4 Lines 51-52); decrypting said output value using the other
22 key of said asymmetrical encryption method to obtain said basic value (See Van Oorschot Fig. 4

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1 “private key decryption” and “B’s high trust private key” and Col. 4 Lines 53-54); processing
2 the unencrypted second section of said payload data stream using the processing method used
3 when encrypting to deduce information characterizing the unencrypted second (See Van
4 Oorschot Fig. 4 “X-fields” and Col. 6 Lines 45-47); linking said information and said basic value
5 using the corresponding linkage as it has been used when encrypting to obtain said payload data
6 key (See Van Oorschot Fig. 4 “Unlevelling” and “X-fields” and Col. 4 Lines 54-56); and
7 decrypting the first section containing the encrypted payload data using said payload data key
8 and said payload data encryption algorithm used when encrypting (See Van Oorschot Fig. 4
9 “symmetric decryption” and “message”), but Van Oorschot failed to disclose that the second
10 section included audio data, video data, a combination of audio data and video data, text data, or
11 binary data forming an executable program.

12 Nardone teaches that movie data needs to be protected from being copied and that this is
13 generally done through encrypting the movie data (See Nardone Col. 1 Lines 22-37), and further
14 that in order to save on processing cost, only portions of the movie data should be encrypted (See
15 Nardone Col. 1 Summary of the Invention).

16 It would have been obvious to the ordinary person skilled in the art at the time of
17 invention to employ the teachings of Nardone in the encryption system of Van Oorschot by
18 encrypting video data, and further by only encrypting portions of the data. This would have been
19 obvious because the ordinary person skilled in the art would have been motivated to protect
20 movie data and to save on processing cost.

21 Regarding claim 28, Van Oorschot disclosed a device for producing a payload data
22 stream comprising a header and a payload data block containing encrypted payload data (See

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1 Van Oorschot Fig. 3 X-fields, header fields, and encrypted message field), comprising: a
2 generator for generating a payload data key for a payload data encryption algorithm for
3 encrypting payload data (See Van Oorschot Col. 6 Lines 41-43 and Fig. 3 "Create low trust
4 symmetric key" K'); a first encryptor for encrypting a first section of the payload data using said
5 payload data key and said payload data encryption algorithm to obtain an encrypted section of
6 said payload data block of said payload data stream (See Van Oorschot Col. 6 Lines 42-43 and
7 Fig. 3 "Symmetric encryption" and "encrypted message"), said first section including audio data,
8 video data, a combination of audio data and video data, text data, or binary data forming an
9 executable program (See Van Oorschot Abstract ciphertext), wherein a second section of the
10 payload data remains unencrypted (See Van Oorschot Col. 6 Lines 45-47 "public key of entity
11 A"); a processor for processing the unencrypted section of said payload data (See Van Oorschot
12 Col. 6 Lines 45-50 "hash of X" which contains the public key of A) to deduce information
13 characterizing the unencrypted second section of said payload data (See Van Oorschot Col. 6
14 Lines 49-60 $h_{40}(X)$); a linker for linking said information and said payload data key by means of
15 an invertible logic linkage to obtain a basic value (See Van Oorschot Col. 6 Lines 56-60 "K'
16 $XOR h_{40}(X)$ "); a second encryptor for encrypting said basic value using a key of two keys being
17 different from each other by an asymmetrical encryption method, said two different keys being
18 the public and the private keys respectively for said asymmetrical encryption method, to obtain
19 an output value being an encrypted version of said payload data key (See Van Oorschot Col. 6
20 Line 60 – Col. 7 Line 7); and entering said output value into said header of said payload data
21 stream (See Van Oorschot Col. 6 Line 65 – Col. 7 Line 7 and Fig. 3 "A's header field" and "B's
22 header field"), but Van Oorschot failed to disclose that the second section included audio data,

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1 video data, a combination of audio data and video data, text data, or binary data forming an
2 executable program.

3 Nardone teaches that movie data needs to be protected from being copied and that this is
4 generally done through encrypting the movie data (See Nardone Col. 1 Lines 22-37), and further
5 that in order to save on processing cost, only portions of the movie data should be encrypted (See
6 Nardone Col. 1 Summary of the Invention).

7 It would have been obvious to the ordinary person skilled in the art at the time of
8 invention to employ the teachings of Nardone in the encryption system of Van Oorschot by
9 encrypting video data, and further by only encrypting portions of the data. This would have been
10 obvious because the ordinary person skilled in the art would have been motivated to protect
11 movie data and to save on processing cost.

12 Regarding claim 29, Van Oorschot disclosed a device for decrypting an encrypted
13 payload data stream comprising a header and a payload data block containing a first section
14 having encrypted payload data (encrypted message), said first section including audio data,
15 video data, a combination of audio data and video data, text data, or binary data forming an
16 executable program (See Van Oorschot Abstract ciphertext), and a second section having
17 unencrypted payload data (public key of A), said header comprising an output value having been
18 generated by an encryption of a basic value by an asymmetrical encryption method using a key
19 of two different keys including a private and a public key, said basic value representing a linkage
20 of a payload data key, with which said first section having encrypted payload data is encrypted
21 using a payload data encryption algorithm, and information deduced by a certain processing of
22 the unencrypted second section of the payload data, said information characterizing a certain part

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1 of said payload data stream unambiguously (See rejection of claim 1 above), said device further
2 comprising: means for obtaining said output value from said header (See Van Oorschot Fig. 4
3 "B's Header Field" and Col. 4 Lines 51-52); a first decryptor for decrypting said output value
4 using the other key of said asymmetrical encryption method to obtain said basic value (See Van
5 Oorschot Fig. 4 "private key decryption" and "B's high trust private key" and Col. 4 Lines 53-
6 54); a processor for processing the unencrypted second section of said payload data stream using
7 the processing method used when encrypting to deduce information characterizing the
8 unencrypted second (See Van Oorschot Fig. 4 "X-fields" and Col. 6 Lines 45-47); a linker for
9 linking said information and said basic value using the corresponding linkage as it has been used
10 when encrypting to obtain said payload data key (See Van Oorschot Fig. 4 "Unlevelling" and
11 "X-fields" and Col. 4 Lines 54-56); and a second decryptor decrypting the first section
12 containing the encrypted payload data using said payload data key and said payload data
13 encryption algorithm used when encrypting (See Van Oorschot Fig. 4 "symmetric decryption"
14 and "message"), but Van Oorschot failed to disclose that the second section included audio data,
15 video data, a combination of audio data and video data, text data, or binary data forming an
16 executable program.

17 Nardone teaches that movie data needs to be protected from being copied and that this is
18 generally done through encrypting the movie data (See Nardone Col. 1 Lines 22-37), and further
19 that in order to save on processing cost, only portions of the movie data should be encrypted (See
20 Nardone Col. 1 Summary of the Invention).

21 It would have been obvious to the ordinary person skilled in the art at the time of
22 invention to employ the teachings of Nardone in the encryption system of Van Oorschot by

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1 encrypting video data, and further by only encrypting portions of the data. This would have been
2 obvious because the ordinary person skilled in the art would have been motivated to protect
3 movie data and to save on processing cost.

4 Regarding claim 2, Van Oorschot and Nardone disclosed that said payload data
5 encryption algorithm is a symmetrical encryption algorithm (See Van Oorschot Fig. 3
6 “symmetric encryption”).

7 Regarding claim 3, Van Oorschot and Nardone disclosed that said invertible logic linkage
8 is self-inverting and includes an XOR- linkage (See Van Oorschot Col. 6 Lines 56-60).

9 Regarding claim 4, Van Oorschot and Nardone disclosed that one key of said two keys
10 being different from each other is the private key of a producer of said payload data stream or the
11 public key of a consumer of said payload data stream (See Van Oorschot Fig. 3 B's high trust
12 public key).

13 Regarding claim 5, Van Oorschot and Nardone disclosed that said part of said payload
14 data stream being processed to deduce said information includes at least a part of said header
15 (See Van Oorschot Fig. 3 “X-Field” and Col. 6 Lines 49-55).

16 Regarding claim 6, Van Oorschot and Nardone disclosed that said step of processing
17 comprises forming a hash sum (See Van Oorschot Col. 6 Lines 49-55).

18 Regarding claim 7, Van Oorschot and Nardone disclosed further comprising the
19 following step: identifying an algorithm being used in said step of processing by an entry into
20 said header (See Van Oorschot Abstract Lines 14-16).

21 Regarding claim 14, Van Oorschot and Nardone disclosed that said step of processing
22 further comprises the following sub-step: setting said entry for said output value in said header to

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1 a defined value and processing said entire header, including said entry set to a defined value (See
2 Van Oorschot Fig. 3 "X-Field" and Col. 6 Lines 49-55).

3 Regarding Claim 16, Van Oorschot and Nardone disclosed the following step: identifying
4 said payload data encryption algorithm by an entry into said header of said payload data stream
5 (See Van Oorschot Abstract Lines 14-16).

6 Regarding claim 19, Van Oorschot and Nardone disclosed that said part being processed
7 to deduce said information is said header (See Van Oorschot Fig. 4 "X-Fields").

8 Regarding claim 23, Van Oorschot and Nardone disclosed that one key having been used
9 when encrypting is the public key of said asymmetrical encryption method, while the other key
10 having been used when decrypting is the private key of said asymmetrical encryption method
11 (See Van Oorschot Fig. 3 "B's high trust public key" and Fig 4 "B's high trust private key").

12 Regarding claim 24, Van Oorschot and Nardone disclosed that said step of processing
13 includes forming a hash sum (See Van Oorschot Col. 6 Lines 49-55 and Fig. 4 "Unlevelling").

14 Regarding claim 25, Van Oorschot and Nardone disclosed that a part of said header
15 having been set to a defined value for said step of processing when encrypting is set to the same
16 defined value for said step of processing when decrypting (See Van Oorschot Fig. 3 "X-fields"
17 and Fig. 4 "X-fields" wherein they must be the same defined value because they were both set by
18 the sender upon sending).

19 Regarding claim 26, Van Oorschot and Nardone disclosed that said part of said header
20 being set to a defined value includes said entry for said output value of said header (See Van
21 Oorschot Fig. 3 "B's header field" and Fig. 4 "B's header field" wherein they must be the same
22 defined value because they were both set by the sender upon sending).

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1 Regarding claim 27, Van Oorschot and Nardone disclosed that said step of linking
2 comprises using an XOR-linkage (See Van Oorschot Col. 6 Lines 56-60 and Col. 4 Lines 54-56
3 and Fig. 4 "Unlevelling").

4
5 Claims 8, 11-12, 18, and 20-21 are rejected under 35 U.S.C. 103(a) as being unpatentable
6 over Van Oorschot and Nardone as applied to claims 1 and 17 above, and further in view of
7 Matyas et al. (US Patent Number 5,200,999) hereinafter referred to as Matyas.

8 Van Oorschot and Nardone disclosed a system for sending a message from a sender to a
9 receiver in which the message was encrypted using a key, the key was encrypted, and then the
10 key was sent to the receiver with the encrypted message (See Van Oorschot Abstract and Fig. 3).
11 Van Oorschot further disclosed decrypting the key, and using the key to decrypt the message at
12 the receiver (See Van Oorschot Abstract and Fig. 4). However, Van Oorschot failed to disclose
13 sending license data along with the key and message.

14 Matyas teaches that when sending a key, in order to authenticate the use of the key, and
15 the validity of the key, certain data (License data) should be placed in the header along with the
16 key. This data includes key type, key usage data (for history purposes), algorithm identifier,
17 algorithm-specific data, key start date/time, key expiration data/time, device identifier, user
18 identifier, key identifier, logical device identifier, and user-defined data (See Matyas Col. 13
19 Line 66 – Col. 14 Lines 60). Matyas further teaches that this information should be verified
20 prior to use of the key (See Matyas Col. 100).

21 It would have been obvious to the ordinary person skilled in the art at the time of
22 invention to employ the teachings of Matyas in the key and message sending system and method
23 of Van Oorschot and Nardone by placing the license information, taught by Matyas, in the
24 header of the message and checking this information prior to allowing the key and message to be
25 decrypted. This would have been obvious because the ordinary person skilled in the art would
26 have been motivated to protect the interests of the sender of the message and to ensure the
27 security of the message.

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1

2 Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of
3 Van Oorschot and Nardone and Matyas as applied to claim 8 above, and further in view of
4 Klemba et al. (US Patent Number 5,710,814) hereinafter referred to as Klemba.

5 Van Oorschot and Nardone and Matyas disclosed sending license data for controlling the
6 usage of a key and message, including usage history (See rejection of claim 8 above), but failed
7 to disclose the data including how often the message could be decrypted.

8 Klemba teaches that license data can be used to control the number of uses of a
9 cryptographic function (See Klemba Col. 14 Lines 14-19).

10 It would have been obvious to the ordinary person skilled in the art at the time of
11 invention to employ the teachings of Klemba in the messaging system and method of Van
12 Oorschot and Nardone and Matyas by using the license information to limit the number of times
13 the message could be decrypted. This would have been obvious because the ordinary person
14 skilled in the art would have been motivated to protect the interests of the sender of the message
15 as well as to protect the message against compromise.

16

17 Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over the combination
18 of Van Oorschot and Nardone and Matyas as applied to claim 8 above, and further in view of
19 Edenson et al. (Us Patent Number 6,198,875) hereinafter referred to as Edenson.

20 Van Oorschot and Nardone and Matyas disclosed sending license data for controlling the
21 usage of a key and message, including usage history (See rejection of claim 8 above), but failed

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1 to disclose the data including how often the message could be copied and how often it had
2 already been copied.

3 Edenson teaches that license information can include how many copies of licensed data
4 can be made (See Edenson Col. 4 Paragraph 2).

5 It would have been obvious to the ordinary person skilled in the art at the time of
6 invention to employ the teachings of Edenson in the messaging system of Van Oorschot and
7 Nardone and Matyas by including information regarding the number of allowed copies of the
8 message that are permitted. This would have been obvious because the ordinary person skilled
9 in the art would have been motivated to protect the interests of the message sender, and to protect
10 the message itself from unauthorized distribution. Further, it would have been necessary to also
11 keep track of the number of copies already made in order to enforce the copy limit.

12
13 Claim 13 is rejected under 35 U.S.C. 103(a) as being unpatentable over the combination
14 of Van Oorschot and Nardone and Matyas as applied to claim 8 above, and further in view of
15 Schneier ("Applied Cryptography Second Edition").

16 Van Oorschot and Nardone and Matyas disclosed sending license data for controlling the
17 usage of a key and message, including usage history (See rejection of claim 8 above), but failed
18 to disclose including the license in the hash function.

19 Schneier teaches that hashes are used to authenticate the data being hashed upon receipt
20 of the data in order to detect any unauthorized changes to the data (See Schneier Pages 30-31
21 Section 2.4).

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1 It would have been obvious to the ordinary person skilled in the art at the time of
2 invention to employ the teachings of Schneier in the messaging system of Van Oorschot and
3 Nardone and Matyas by hashing the License data along with the X-fields. This would have been
4 obvious because the ordinary person skilled in the art would have been motivated to protect
5 against undetected changes to the license data sent with the message.

6
7 Claim 15 is rejected under 35 U.S.C. 103(a) as being unpatentable over Van Oorschot
8 and Nardone as applied to claim 1 above, and further in view of Roediger (US Patent Number
9 4,899,333).

10 Van Oorschot and Nardone disclosed sending a message from a sender to a receiver,
11 including a header and a hash of the header (See Van Oorschot Col. 6), but Van Oorschot failed
12 to disclose including a sender identifier and a receiver identifier in the header, or in the hash.

13 Roediger teaches that packet headers contain a source address (sender identifier) and a
14 destination address (recipient identifier) and that a checksum should include these fields in order
15 to ensure that the fields are not corrupted (See Roediger Col. 37 Lines 53-63).

16 It would have been obvious to the ordinary person skilled in the art at the time of
17 invention to employ the teachings of Roediger in the messaging system of Van Oorschot and
18 Nardone by including source and destination addresses in the header and including these in the
19 hash. This would have been obvious because the ordinary person skilled in the art would have
20 been motivated to provide means for routing the message from the sender to the receiver and
21 allowing the receiver to verify that it was the intended receiver of the message.

22

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1 Claim 22 is rejected under 35 U.S.C. 103(a) as being unpatentable over Van Oorschot
2 and Nardone as applied to claim 17 above, and further in view of Schneier.

3 Van Oorschot and Nardone disclosed using a public key of the receiver for encryption
4 (See rejection of claim 23 above) but failed to disclose using a private key of an asymmetrical
5 key pair for encryption.

6 Schneier teaches that by encrypting data using a senders private key, the receiver can use
7 the senders public key to authenticate the sender of the data (See Schneier Pages 53-54).

8 It would have been obvious to employ the teachings of Schneier in the messaging system
9 of Van Oorschot and Nardone by encrypting the leveled key with the private key of the sender
10 and decrypting it with the public key of the sender. This would have been obvious because the
11 ordinary person skilled in the art would have been motivated to provide sender authentication at
12 the receiver.

13
14 Claim 30 is rejected under 35 U.S.C. 103(a) as being unpatentable over Van Oorschot
15 and Nardone as applied to claims 28 and 29 above, and further in view of Kane et al. (US Patent
16 Number 5,315,635) hereinafter referred to as Kane.

17 Van Oorschot and Nardone disclosed sending messages from a sender to a receiver (See
18 Van Oorschot Abstract), but failed to disclose the sending being from a personal computer to a
19 personal computer.

20 Kane teaches that messages can be sent between personal computers (See Kane Col. 1
21 Lines 45-51).

7 Claims 1-30 have been rejected and claim 31 has been cancelled.

8 **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time
9 policy as set forth in 37 CFR 1.136(a).

10 A shortened statutory period for reply to this final action is set to expire THREE
11 MONTHS from the mailing date of this action. In the event a first reply is filed within TWO
12 MONTHS of the mailing date of this final action and the advisory action is not mailed until after
13 the end of the THREE-MONTH shortened statutory period, then the shortened statutory period
14 will expire on the date the advisory action is mailed, and any extension fee pursuant to 37
15 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,
16 however, will the statutory period for reply expire later than SIX MONTHS from the mailing
17 date of this final action.

18 Any inquiry concerning this communication or earlier communications from the
19 examiner should be directed to Matthew T. Henning whose telephone number is (571) 272-3790.
20 The examiner can normally be reached on M-F 8-4.

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1 If attempts to reach the examiner by telephone are unsuccessful, the examiner's
2 supervisor, Ayaz Sheikh can be reached on (571) 272-3795. The fax phone number for the
3 organization where this application or proceeding is assigned is 571-273-8300.

4 Information regarding the status of an application may be obtained from the Patent
5 Application Information Retrieval (PAIR) system. Status information for published applications
6 may be obtained from either Private PAIR or Public PAIR. Status information for unpublished
7 applications is available through Private PAIR only. For more information about the PAIR
8 system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR
9 system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would
10 like assistance from a USPTO Customer Service Representative or access to the automated
11 information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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18 Matthew Henning
19 Assistant Examiner
20 Art Unit 2131
21 2/28/2007

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